

09/890019 #4
Rec'd PCT/PTO
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PATENT

(Practitioner's Docket No. IN-5510)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Rainer BLUM, Jorge PRIETO

US Serial No. 09/890,019

Filed: July 25, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: BINDING AGENT MIXTURES AND THEIR USE IN COATING MATERIALS
CURED BY ACTINIC RADIATION AND/OR HEAT

**Commissioner for Patents
Washington, D.C. 20231**

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [], filed on []. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

Information Disclosure Statement
Page 2

- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☒ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material: English Abstracts for DE19709465; DE 2848966A1; EP 650985
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☒ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

5,484,850 is the English Equivalent EP 0 650978A1
5,527,859 is the English Equivalent EP 0 650 979 A1
5,620,751 is the English Equivalent EP 0585742B1 and A1
5,202,483 is the English Equivalent DE 4007318 A1
5,726,258 is the English Equivalent DE 19524182A1
3,445,336 is the English Equivalent DE 1570027
5,068,305 is the English Equivalent EP 0410242A2
5,223,645 is the English Equivalent EP 0486897A1
5,206,417 is the English Equivalent DE 3820463A1
5,690,569 is the English Equivalent DE 19709476 A1
5,576,386 is the English Equivalent EP 0554783A1

- ☐ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.

Information Disclosure Statement
Page 3

- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$230.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Respectfully submitted,



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Customer No. 26922

Atty. docket No. IN-5510

Serial No. 09/890,019

Applicant(s) : BLUM et al.

Filing Date July 25, 2001

Group

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)**U.S. PATENT DOCUMENTS**

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,163,810	8/7/79	McGinniss	427	54	9/15/78
	AB	4,129,488	12/12/78	McGinniss	204	159.19	11/8/76
	AC	5,576,386	11/19/96	Kempter et al.	526	88	1/28/93
	AD	5,690,569	11/25/97	Ledvina et al.	474	111	3/13/96
	AE	5,206,417	4/27/93	Boettcher et al.	560	137	12/18/91
	AF	5,223,645	6/29/93	Barwich et al.	564	158	9/23/91
	AG	5,068,305	11/16/91	Meixner et al.	528	49	7/20/90
	AH	3,445,336	5/20/69	Tanaka et al.	195	28	6/24/64
	AI	5,726,258	3/10/98	Fischer et al.	526	64	7/1/96
	AJ	5,202,483	4/13/93	Rehmer et al.	564	207	3/1/91
	AK	5,620,751	4/15/97	Brindoepeke et al.	427	506	3/14/96

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	WO 82/02387	22.07.82	EP	C08F	2/02	X	
	AM	WO 95/27742	19.10.95	EP	C08F	18/04	X	
	AN	0 636 669 A2	30.07.93	EP	C09D	167/06	X	
	AO	28 48 966 A1	22.05.80	DE	C10K	1/30		X
	AP	38 20 463 A1	21.12.89	DE	C07C	125/06		X
	AR	40 07 318 A1	12.09.91	DE	C07C	233/31		X
	AS	0 486 897 A1	22.11.90	EP	C07C	235/20		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AT	English Abstract for DE 284 8966
	AU	English Abstract for DE 197 09465
	AV	English Abstract for EP 650 985

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

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	AC						
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	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	1 570 027	09.04.70	DE	C07d			X
	AM	0 650 979 A1	03.11.93	EP	C08F	8/00		X
	AN	0 650 985 A1	03.11.93	EP	C08F	220/12		X
	AO	0 650 978 A1	03.11.93	EP	C08F	8/00		X
	AP	0 410 242 A2	14.07.90	EP	C09D	5/03		X
	AR	0 585 742 B1	19.08.93	EP	C09D	167/06		X
	AS							

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							YES	NO
	AL	197 09 465 A1 ✓	17.09.98	DE	B05D	7/26		X
	AM	197 09 476 A1	13.03.96	DE	F16H	7/18		X
	AN	195 24 182 A1	09.01.97	DE	C08F	212/08		X
	AO	0 554 783 A1	28.01.93	EP	B01J	19/18		X
	AP							
	AR							
	AS							

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